

11/09/01

JC945 U.S. PTO

10039566

UTIL

PATENT APPLICATION
TRANSMITTALCHECK BOX, if applicable: ☐ DUPLICATE
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ This Form includes the Fee Transmittal (See Box 18)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification *Total Pages* 21
4. ☒ Drawing(s) (35 USC 113) *Total Sheets* 8
(Figs. 1- 8)
5. ☐ Unsigned Declaration and Power of Attorney
Total Pages 3
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R in duplicate; or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

Attorney Docket No.:

9777000-0022

First Named Inventor:

Thomas Becker

Title of Invention:

Direct Mailing Device

Express Mail Label No.:

EL676986899US

ADDRESS TO:

Assistant Commissioner for Patents
BOX Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) *Total Pages* ____
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
- a. ☐ IDS By Applicant (PTO/SB/08A or PTO-1449)
- b. ☐ Copies of IDS Citations are enclosed
- c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment "A" to
1.53(b) DIVISIONAL *Total Pages* 2
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of _____ Priority Document:
Document No. _____, filed on _____
16. ☐ Request and Certification under 35 USC 122
- a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
- b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
- a. ☒ Express Mail Certificate (No. EL676986899US)

18. ☐ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:

- a. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: ____
- b. ☐ Prior application information: Examiner Name: _____ Group Art Unit: _____
- c. ☐ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
	TOTAL CLAIMS	78	58	\$18.00	\$740.00
	INDEPENDENT CLAIMS	3		84.00	\$
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input type="checkbox"/> NO			280.00	0.00
				TOTAL FILING FEE Reduced by half Small Entity Status	\$1784.00 \$892.00

- d. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☒ A check including the amount of \$892.00 to cover the total filing fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:

SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, IL, USA 60606-1080

DATE: November 9, 2001

SIGNATURE

Jordan A. Sigale
Jordan A. Sigale, Reg. No. 39,028
Phn: (312) 876-8000 Fax: (312) 876-7934
Customer Number: 026263

UTILITY PATENT APPLICATION TRANSMITTAL

CHECK BOX, if applicable: ☐ DUPLICATE
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ This Form includes the Fee Transmittal (See Box 18)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification Total Pages 21
4. ☒ Drawing(s) (35 USC 113) Total Sheets 8
(Figs. 1- 8)
5. ☐ Unsigned Declaration and Power of Attorney Total Pages 3
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R in duplicate; or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

Attorney Docket No.: 9777000-0022
First Named Inventor: Thomas Becker
Title of Invention: Direct Mailing Device
Express Mail Label No: EL676986899US

Assistant Commissioner for Patent
ADDRESS TO: BOX Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) Total Pages ____
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ IDS By Applicant (PTO/SB/08A or PTO-1449)
 - b. ☐ Copies of IDS Citations are enclosed
 - c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment "A" to
1.53(b) DIVISIONAL Total Pages 2
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of _____ Priority Document:
Document No. _____, filed on _____
16. ☐ Request and Certification under 35 USC 122
 - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
 - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
 - a. ☒ Express Mail Certificate (No. EL676986899US)

18. ☐ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
- a. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
 - b. ☐ Prior application information: Examiner Name: _____ Group Art Unit: _____
 - c. ☐ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
	TOTAL CLAIMS	78	58	\$18.00	\$740.00
	INDEPENDENT CLAIMS	3		84.00	\$
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input type="checkbox"/> NO			280.00	0.00
				TOTAL FILING FEE Reduced by half Small Entity Status	\$1784.00 \$892.00

- d. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☒ A check including the amount of \$892.00 to cover the total filing fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:
SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, IL, USA 60606-1080

DATE: November 9, 2001

SIGNATURE

Jordan A. Sigale, Reg. No. 39,028
Phn: (312) 876-8000 Fax: (312) 876-7934
Customer Number: 026263

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL676986899US

Date of Deposit: November 9, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Thomas Becker

Attorney Docket No. 9777000-0022

Transmittal (1 page in duplicate)

Specification, Claims, Abstract (21 pages)

Drawings (8 sheets - Figs 1-8)

Declaration (executed - 3 pages)

Check No. 1148445 \$892.00

Return postcard



Signature of Person Mailing Application and Fees - Paula M. Theismann

10030516 10030516